



United States Department of Labor Employee Benefits Security Administration Voluntary Fiduciary Correction Program Workshop and Webinar

Who Can Attend - Any employee benefit plan sponsors, officials, parties-in-interest or service providers who are interested in how to correct fiduciary violations under the Employee Retirement Income Security Act (ERISA).

Learn How to apply and Get Approved - You'll receive instructions on how to make corrections and use this program.

Eligible ERISA Transactions

- Delinquent Participant Contributions to Retirement Plans, or Insured Welfare Plans, or Welfare Plan Trusts.
- Fair Market Interest Rate Loans between Employee Benefit Plans and Parties-in-Interest (PIIs).
- Below Market Interest Rate Loans with Parties-in-Interest, or Nonparties-in-Interest.
- Below Market Interest Rate Loans to PIIs or Non PIIs due to delays in perfecting loan collateral security.
- Participant Loan Amount Exceeds Plan Limitations.
- Participant Loan Duration Exceeds Plan Limitations.
- Default Participant Loans.
- Purchase of Assets by Plans from Parties-in-Interest.
- Sale of Assets by Plans to Parties-in-Interest.
- Sale and Leaseback of Property to Sponsoring Employers.
- Purchase of Assets from Non PIIs at other than Fair Market Value (FMV).
- Sale of Assets to Non PII at other than FMV.
- Holding of Illiquid Assets Previously Purchased by Plan Benefit Payments based on improper Valuation of Plan assets.
- Payment from a Plan of duplicate, excessive, or unnecessary compensation.
- Expenses Improperly Paid by a Plan including Settlor fees.
- Payment from a Plan of dual compensation to Plan fiduciaries.

Bonus - Learn if you are eligible for relief of IRS Excise Taxes and how you might pay your plan the amount you would otherwise owe in Excise Tax.

Pre-register for the Webinar by November 18, 2015 to receive your electronic invitation and URL link prior to the Webinar.

One-on-one VFCP assistance will be available after the workshop upon request, when a DOL representative will telephone you during your pre-designated time.

- ☐ One-on-one assistance requested.
- ☐ Individuals with disabilities who need special accommodations please check here.
- ☐ The attendees list will be available on request. Please check here if you do not want your name shared.

When: Thursday, November 19, 2015 11:00am to 12:00pm PST

Where: Live over the Internet

Cost: The workshop and all materials provided are free!

Registration: Fax this form to (626) 229-1098
Or email to Registration-LARO@dol.gov

Webinar Registration Form

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| Registrants Name: | Title: |
| Company And/Or Association: | Address: |
| Telephone/Fax Number: | Email Address: |